

Cryptocurrency Due Diligence Questionnaire



This questionnaire is designed to help the Credit Union identify the needs of our members and to understand the type, size, and frequency of transactions. Some transactions carry a higher degree of risk, which requires enhanced due diligence. We appreciate your cooperation. Please answer all questions in their entirety. Failure to respond may result in the suspension of accounts and services.

Member Information

Account Owner's Name	Account Number

Review of Cryptocurrency Activity

Please provide a detailed explanation of the current cryptocurrency activity in your account. (e.g., Coinbase, Binance US, Kraken, etc.).

Incoming Cryptocurrency (select the dollar amount and frequency)

☐ \$0-\$1,000	☐ \$1,000-\$3,000	☐ \$3,000-\$5,000	☐ \$5,000-\$10,000	☐ \$10,000 and above	
☐ One-time	☐ Multiple times/month	☐ Monthly	☐ Quarterly	☐ Bi-Annually	☐ Annually
a. Source/Purpose?			b. What cryptocurrency exchanges do you use or plan to use?		

Outgoing Cryptocurrency (select the dollar amount and frequency)

☐ \$0-\$1,000	☐ \$1,000-\$3,000	☐ \$3,000-\$5,000	☐ \$5,000-\$10,000	☐ \$10,000 and above	
☐ One-time	☐ Multiple times/month	☐ Monthly	☐ Quarterly	☐ Bi-Annually	☐ Annually
a. Source/Purpose?			b. What cryptocurrency exchanges do you use or plan to use?		

Will you be using your account to send or receive funds related to cryptocurrency trading, investing, purchases, or on behalf of a business or third party? If yes, explain.

Have you ever been compensated in cryptocurrency for goods, services, or work performed?

Respond with **Yes** or **No** to these questions:

1. Have you been asked to send cryptocurrency/money or make payments to unfamiliar individuals or organizations?
☐ Yes ☐ No
2. Have you provided personal or financial information to anyone who claimed to be from a government agency or a trusted institution?
☐ Yes ☐ No
3. Have you been contacted by individuals offering lucrative investment opportunities or promising high returns or to assist others in an investment club invest in cryptocurrency?
☐ Yes ☐ No
4. Have you been asked by someone you met online to send them money or provide financial support for various reasons?
☐ Yes ☐ No
5. Are you aware of the importance of verifying the identity and background of individuals you meet online before engaging in any financial transactions or sharing personal information to send or receive funds?
☐ Yes ☐ No

If you answered "Yes" to any of questions 1–4 in this section, there is a possibility that you may be involved in a scam. Our team is available to assist or discuss this matter further. Please provide any additional information or details regarding your cryptocurrency transactions below:

Member Information

Direct Deposit-Where is the Direct Deposit Sent?

ID type (i.e., Driver's License, State ID, Passport), ID Number, Country/State Issued, and the Expiration Date

Employer and Occupation (If retired, disabled, unemployed or self-employed, provide previous occupation or Business Name and State of Registration)

All Source of Wealth/Income (i.e. employment, rental income, investment income, retirement...)

Phone

Alternate Phone

Email

U.S. Citizen/Resident Alien*

☐ Yes ☐ No

Purpose of this Account (How will the account be used?)

Expected Transaction Types (ACH, checks, cash, wire, check card...) Check all that apply:

- | | | |
|---|---|---|
| ☐ Automated Clearing House (ACH)
Electronic Debit/Credit | ☐ Purchase of Monetary Instruments | ☐ Cash - Currency (Paper/Coin) |
| ☐ Wires - Domestic (within U.S.) | ☐ Checks/Drafts | ☐ Merchant Services Transactions |
| ☐ Wires - International | ☐ Check Card | ☐ Safe Deposit Box Rental |
| ☐ Other Electronic Transactions
(Square/Cash App, Venmo, PayPal, Apple Pay, Google Pay, Zelle...) | | |

ADDITIONAL INFORMATION AND COMMENTS:

By signing below, I certify that the information provided above is true and correct, and I understand that the Credit Union may require additional due diligence regarding this account or others, as the activity or transactions change.

Signature

Date