

Consumer Account Enhanced Due Diligence Questionnaire



This questionnaire is designed to help the Credit Union identify the needs of our members and to understand the type, size and frequency of transactions. Some transactions carry a higher degree of risk, which requires enhanced due diligence. This also provides us with an opportunity to be "Of Service" and allows us to make appropriate recommendations that align with our members' financial goals and objectives. We appreciate your cooperation. Failure to respond may result in the suspension of accounts and services.

All questions must be answered in their entirety or the form will be considered incomplete

Department

Reason for Due Diligence

Compliance Department

Account Review

Member Information

Account Owner's Name

Account Number

Employer and Occupation (If retired, disabled or unemployed, provide previous occupation)

If Self-Employed, please provide the Business/Trade Name and State of Registration

All Sources of Wealth/Income (i.e., employment, rental income, investment income, retirement...)

Telephone Numbers

Home

Cell

Work

Alternative

Purpose of the Account (How will the account be used)?

Where Else Do You Bank – Name of Other Financial Institutions

Direct Deposit – Where is the Direct Deposit Sent?

ID type (i.e., Driver's License, State ID, Passport), ID Number, Country/State Issued, and the Expiration Date.

Citizenship/Residency Status

Transaction Information

Expected transaction types (ACH, checks, cash, wire, check card...) Check all that apply:

- | | | |
|--|---|--|
| <input type="checkbox"/> Automated Clearing House (ACH)
Electronic Debit/Credit | <input type="checkbox"/> Purchase of Monetary Instruments | <input type="checkbox"/> Cash - Currency (Paper/Coin) |
| <input type="checkbox"/> Wires - Domestic (within U.S.) | <input type="checkbox"/> Checks/Drafts | <input type="checkbox"/> Merchant Services Transactions |
| <input type="checkbox"/> Wires - International | <input type="checkbox"/> Check Card | <input type="checkbox"/> Other Electronic Transactions
(Square/Cash App, Venmo, PayPal,
Apple Pay, Google Pay, Zelle...) |

Expected number of transactions (ACH, checks, cash, wire, check card...) per month:

Deposits # _____

Withdrawals # _____

Expected Electronic Payments (Square/Cash App, Venmo, PayPal, Apply Pay, Google Pay, Zelle...)

Do You Anticipate Sending or Receiving Payments Electronically to or from Individuals, Businesses or Organizations?

If Yes, please provide additional details regarding the purpose, source of funds, anticipated amounts, frequency and relationship:

Expected Cash Deposits (i.e., currency, tangible paper, coin) \$ _____ (amount or range) Frequency: Weekly Monthly

Source and Purpose for Deposits

Expected Cash Withdrawals (i.e., currency, tangible paper, coin) \$ _____ (amount or range) Frequency: Weekly Monthly

Purpose of Withdrawals

Do You Anticipate International Wires? Yes No

a. Purpose, Country(ies), Anticipated Amount & Frequency

b. Relationship to Senders/Receivers

Do You Anticipate Domestic Wires? Yes No

a. Purpose, Anticipated Amount & Frequency

b. Relationship to Senders/Receivers

How many miles is the business from one of the NASA Federal Credit Union branch offices located in MD, DC, or VA?
Select the appropriate range:

1-10 miles

11-25 miles

26-50 miles

Over 50 miles

Expected Average Monthly Balance

We Welcome Any Comments You May Have (Expand on answers from the sections above or use for additional comments. Has your overall experience with the credit union been good? Are you willing to have open communication as your transactions change?)

By signing below, I certify that the information provided above is true and correct, and I understand that the Credit Union may require additional due diligence regarding this account or others, as the activity or transactions change.

Signature

Date