

# Consumer Account Enhanced Due Diligence Questionnaire



This questionnaire is designed to help the Credit Union identify the needs of our members and to understand the type, size, and frequency of transactions. Some transactions carry a higher degree of risk, which requires enhanced due diligence. We appreciate your cooperation. Please answer all questions in their entirety. Failure to respond may result in the suspension of accounts and services.

## Member Information

Account Owner's Name

Account Number

Employer and Occupation (If retired, disabled or unemployed, provide previous occupation)

If Self-Employed, please provide the Business/Trade Name and State of Registration

All Sources of Wealth/Income (i.e., employment, rental income, investment income, retirement...)

Telephone Numbers

Home

Cell

Work

Alternative

Purpose of the Account (How will the account be used)?

Where Else Do You Bank – Name of Other Financial Institutions

Direct Deposit – Where is the Direct Deposit Sent?

ID type (i.e., Driver's License, State ID, Passport), ID Number, Country/State Issued, and the Expiration Date.

U.S. Citizen/Resident Alien  Yes  No

## Transaction Information

**Expected Transaction Types (ACH, checks, cash, wire, check card...) Check all that apply:**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Automated Clearing House (ACH)<br>Electronic Debit/Credit  | <input type="checkbox"/> Purchase of Monetary Instruments | <input type="checkbox"/> Cash - Currency (Paper/Coin)   |
| <input type="checkbox"/> Wires - Domestic (within U.S.)   | <input type="checkbox"/> Checks/Drafts                    | <input type="checkbox"/> Merchant Services Transactions |
| <input type="checkbox"/> Wires - International  | <input type="checkbox"/> Check Card                       | <input type="checkbox"/> Safe Deposit Box Rental        |
| <input type="checkbox"/> Other Electronic Transactions<br>(Square/Cash App, Venmo, PayPal, Apple Pay, Google Pay, Zelle...) |   |   |

**Expected Number of Transactions per month:**

Deposits # \_\_\_\_\_

Withdrawals # \_\_\_\_\_

**Expected Electronic Transactions (Cash App, Venmo, PayPal, Apple Pay, Google Pay, Zelle...)**

- \$0-\$1,000     \$1,000-\$3,000     \$3,000-\$5,000     \$5,000-\$10,000     \$10,000 and above
- One-time     Multiple times/month     Monthly     Quarterly     Bi-Annually     Annually

Purpose and Relationship to Sender/Receiver

**Expected Cash Deposits** (i.e., currency, tangible paper, dollars/coins)

- \$0-\$1,000     \$1,000-\$3,000     \$3,000-\$5,000     \$5,000-\$10,000     \$10,000 and above
- One-time     Multiple times/month     Monthly     Quarterly     Bi-Annually     Annually

Source and Purpose for Deposits

**Expected Cash Withdrawals** (i.e., currency, tangible paper, dollars/coins)

- \$0-\$1,000     \$1,000-\$3,000     \$3,000-\$5,000     \$5,000-\$10,000     \$10,000 and above
- One-time     Multiple times/month     Monthly     Quarterly     Bi-Annually     Annually

Purpose of Withdrawals

**Expected International Wires**     Incoming     Outgoing     Both     None

- \$0-\$1,000     \$1,000-\$3,000     \$3,000-\$5,000     \$5,000-\$10,000     \$10,000 and above
- One-time     Multiple times/month     Monthly     Quarterly     Bi-Annually     Annually

a. Source/Purpose?

b. To/From Which Countries Do you Anticipate Sending or Receiving Wires

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c. What Is Your Relationship To Sender and/or Receiver?

**Expected Domestic Wires**     Incoming     Outgoing     Both     None

- \$0-\$1,000     \$1,000-\$3,000     \$3,000-\$5,000     \$5,000-\$10,000     \$10,000 and above
- One-time     Multiple times/month     Monthly     Quarterly     Bi-Annually     Annually

a. Source/Purpose?

b. What Is Your Relationship To Sender and/or Receiver?

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How many miles is your home from one of the NASA Federal Credit Union branch offices located in MD, DC, or VA?

Select the appropriate range:

- 1-10 miles
- 11-25 miles
- 26-50 miles
- Over 50 miles

Expected Average Monthly Balance

ADDITIONAL INFORMATION AND COMMENTS:

By signing below, I certify that the information provided above is true and correct, and I understand that the Credit Union may require additional due diligence regarding this account or others, as the activity or transactions change.

Signature \_\_\_\_\_

Date \_\_\_\_\_